FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Sheet 1 of 2
Docket No. D-ACD-W019 Higgins *et al.*
Serial No. 09/660,635
Applicant: Higgins, Alan Lawrence
Filing Date: September 13, 2000; Group: TBA

U.S. PATENT DOCUMENTS

EXAMINER INITIALS *		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
JW	A	4,720,863	01/19/88	Li *et al.*			11/03/82
JW	B	4,837,830	06/06/89	Wrench, Jr. *et al.*			01/16/87
JW	C	5,339,385	08/16/94	Higgins			07/22/92
JW	D	5,414,755	05/09/95	Bahler *et al.*			08/10/94

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS *		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES / NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

JW	A	"The Use of Multiple Measurements in Taxonomic Problems", by R.A. Fisher, pages 32.178a –32.188, reprinted from Annals of Eugenics, Volume VII, Part II, Pages 179-188, 1936.
JW	B	Pattern Classification and Scene Analysis, by Richard O. Duda and Peter E. Hart, Part I, Section 4.10, "Fisher's Linear Discriminant", pages 114-118, 1973.
JW	C	"An Inequality and Associated Maximization Technique in Statistical Estimation for Probabilistic Functions of Markov Processes", by Leonard E. Baum, pages 1-8, published in Inequalities, volume 3, number 1, 1972.
JW	D	"Maximum Likelihood from Incomplete Data via the *EM* Algorithm", by A.P. Dempster *et al.*, pages 1-38, published in J. Royal Statistics Society, volume 39, 1977.
JW	E	VLSI Signal Processing, chapter 9 entitled, "Real Time Speech Processing", pages 308-315, 316-327 and 329-348, published by the IEEE Press, 1984.

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JW	F	"On the Application of Vector Quantization and Hidden Markov Models to Speaker-Independent, Isolated Word Recognition", by L.R. Rabiner *et al.*, published in the Bell System Technical Journal, Volume 62, Number 4, pages 1075-1105, April 1993.
JW	G	"Recognition in Face Space", by Matthew A. Turk and Alex P. Pentland, published in Automatic Recognition of Objects (SPIE Milestones), pages 3-11, 1990.

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

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